

FOREST MANAGEMENT AND STUMP-TO-FOREST GATE CHAIN-OF-CUSTODY SURVEILLANCE EVALUATION REPORT

The Collins Pennsylvania Forest

Kane Hardwood

Kane, Pennsylvania, USA

SCS-FM/COC-00007N

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CERTIFIED	EXPIRATION
22 October 2015	21 October 2020

DATE OF FIELD AUDIT
11-12 October 2016
DATE OF LAST UPDATE
31 October 2016

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Foreword

Cycle in annual surveillance audits			
<input checked="" type="checkbox"/> 1 st annual audit	<input type="checkbox"/> 2 nd annual audit	<input type="checkbox"/> 3 rd annual audit	<input type="checkbox"/> 4 th annual audit
Name of Forest Management Enterprise (FME) and abbreviation used in this report:			
Collins Pennsylvania Forest (CPF, FME)			

All certificates issued by SCS under the aegis of the Forest Stewardship Council (FSC) require annual audits to ascertain ongoing conformance with the requirements and standards of certification. A public summary of the initial evaluation is available on the FSC Certificate Database <http://info.fsc.org/>.

Pursuant to FSC and SCS guidelines, annual / surveillance audits are not intended to comprehensively examine the full scope of the certified forest operations, as the cost of a full-scope audit would be prohibitive and it is not mandated by FSC audit protocols. Rather, annual audits are comprised of three main components:

- A focused assessment of the status of any outstanding conditions or Corrective Action Requests (CARs; see discussion in section 4.0 for those CARs and their disposition as a result of this annual audit);
- Follow-up inquiry into any issues that may have arisen since the award of certification or prior to this audit; and
- As necessary given the breadth of coverage associated with the first two components, an additional focus on selected topics or issues, the selection of which is not known to the certificate holder prior to the audit.

Organization of the Report

This report of the results of our evaluation is divided into two sections. Section A provides the public summary and background information that is required by the Forest Stewardship Council. This section is made available to the general public and is intended to provide an overview of the evaluation process, the management programs and policies applied to the forest, and the results of the evaluation. Section A will be posted on the FSC Certificate Database (<http://info.fsc.org/>) no less than 90 days after completion of the on-site audit. Section B contains more detailed results and information for the use by the FME.

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SECTION A – PUBLIC SUMMARY

1. General Information

1.1 Annual Audit Team

Auditor Name:	Anne Marie Kittredge	Auditor role:	Lead Auditor
Qualifications:	Anne Marie Kittredge is a FSC/PEFC/SFI/SBP lead auditor (COC/FM) based in Southern New England. Anne Marie has > 20 years of experience as a natural resources manager, has been auditing since 2008 and authored > 500 audit reports. Anne Marie completed a 3-day ISO 19011 training designed & presented in relation to the FSC Standards and earned both MS and BS in Forestry from the University of Massachusetts - Amherst.		

1.2 Total Time Spent on Evaluation

A. Number of days spent on-site assessing the applicant:	2
B. Number of auditors participating in on-site evaluation:	1
C. Additional days spent on preparation, stakeholder consultation, and post-site follow-up:	1
D. Total number of person days used in evaluation:	3

1.3 Standards Employed

1.3.1. Applicable FSC-Accredited Standards

Title	Version	Date of Finalization
FSC-US Forest Management Standard	V1-0	July 8, 2010
All standards employed are available on the websites of FSC International (www.fsc.org), the FSC-US (www.fscus.org) or the SCS Standards page (www.scsglobalservices.com/certification-standards-and-program-documents). Standards are also available, upon request, from SCS Global Services (www.SCSglobalServices.com).		

2 Annual Audit Dates and Activities

2.1 Annual Audit Itinerary and Activities

Date: Tuesday, 11 October 2016	
FMU / Location / sites visited	Activities / notes
HQ 8 am – 10 AM	Opening Meeting: Introductions, client update, review audit scope, audit plan, intro/update to FSC and SCS standards and protocols, review of open CARs/OBS, review of findings in general and final site selection. Review GIS system Review of COC indicators Site selections Interviews.

<p>10 AM – 4:30 PM</p>	<ul style="list-style-type: none"> • McKean 27: Forest access gate observed along Bingham Road left open currently to provide public access for hunting. • McKean 8: 100' x 100' cell tower pad and new forest access road. • McKean 15: Forest access gate observed along road left open currently to provide public access for hunting. Excellent road condition. • McKean 15: Small cell tower pad (at top of hill) observed in existing stone pit near access road. • McKean 15: Block 126. 16-acre 2015 completed ash salvage (EAB/tent caterpillar)/thinning in a northern hardwood stand including retention of sugar maple and other snags, dens, and LWD. Auditor reviewed written prescription (Harvest Details). • McKean 15: Block 125. Active 29-acre ash salvage (EAB/tent caterpillar) and regeneration operation in a northern hardwood stand including retention of sugar maple snags, dens, and LWD. Operation began during spring 2016, moved off during summer 2016 and active again during fall 2016. Observed 'travel lane' or corridor between and connecting habitat on maps and in the field. Harvest operator interviewed. Auditor observed spill containment system, fire extinguishers, first aid kit, and PPE. Auditor reviewed written prescription (Harvest Details). • McKean 15: Block 128. Marked and not yet harvested 6-acre ash salvage (EAB/tent caterpillar) and shelterwood prescription in a northern hardwood stand including retention of sugar maple snags, dens, and LWD. Observed 'travel lane' or corridor between and connecting habitat on maps and in the field. Auditor reviewed written prescription (Harvest Details). • McKean 15: Block 129. Completed 68-acre ash salvage (EAB) and 1st shelterwood prescription in a northern hardwood stand including retention of live hickory, sugar maple snags, large basswood den, and LWD. Minimal residual damage within a relatively closed canopy. Auditor reviewed written prescription (Harvest Details). Seeps marked on the map as a 2-acre protection zone, described in the prescription for protection and not impacted during the harvest. Intermittent stream filter strip includes appropriate retention. Gas well observed at the end of road. • McKean 62: Block 005. Not yet completed 89-acre ash salvage (EAB) prescription in a northern hardwood stand including retention of snags and dens. Auditor reviewed written prescription (Harvest Details).
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	<ul style="list-style-type: none"> • McKean 62: Block 006. Active 19-acre regeneration harvest. Harvest operator interviewed. Auditor observed spill containment system, fire extinguishers, first aid kit, and PPE. Auditor reviewed written prescription (Harvest Details). • McKean 62: Block 004. September 2016 completed 23-acre 2-age ash salvage (EAB) in a northern hardwood stand. Harvest area includes a permitted stream crossing (the only one used during this audit year. Stream crossing included the installation of a portable wooden bridge over steel pipes that have been removed. The approaches have been seeded and mulched for stabilization. The access road is signed and closed to motor vehicles including a large water bar. Retention includes sugar maple den trees (20”), group of apple trees, and white pine. Auditor reviewed written prescription (Harvest Details).
<p>Date: Wednesday, 12 October 2016</p>	
<p>FMU / Location / sites visited</p>	<p>Activities / notes</p>
<p>8 AM – 12:30 AM</p>	<ul style="list-style-type: none"> • Trust 18: Block 12, 13 & 16. Active 95-acre ash (EAB) and black cherry (decline) salvage shelterwood in an Alleghany hardwood stand. Area of large boulders mapped as protection zones and left uncut. Other (nearby) protection zone delineations observed on map. Observed ‘travel lane’ or corridor between and connecting habitat on maps and in the field. Harvest operators interviewed. Confirmed through interviews that logging contractors have completed Game of Logging and SFI courses, that include training on spill containment and clean-up. Although knowledgeable about the company requirement to carry spill containment kits, logging contractor confirmed that a spill kit was not presently available onsite as a result of extenuating circumstances. This harvest operator routinely carries 2 spill kits. Auditor observed fire extinguishers, first aid kit, and PPE. See OBS 2016.1. • Trust 18: Block 14 and 15. 2012 completed shelterwood harvest in a northern hardwood stand that contains fern and birch competing vegetation. 2016 herbicide application completed. Routine monitoring includes 2017 follow-up and regeneration plots 3 years after the herbicide treatment. These follow-up steps are scheduled in the Harvest Basket access database. Marked boundary line observed. HCV element delineations observed (nearby) on map. Observed ‘travel lane’ or corridor between and connecting habitat on maps and in the field. • Forest 13: Block 011. 78-acre ash salvage (EAB) 1-step shelterwood with regeneration in place. Harvest operation started and closed during winter 2016. HCV element

	<p>delineations observed (elsewhere) on map. Observed ‘travel lane’ or corridor between and connecting habitat and in the field. Prescription includes protection of seeps on maps, prescriptions and implemented in the field.</p> <ul style="list-style-type: none"> • Forest 13. 2015-16 on-going access road construction project. Construction includes road drainage pipes, ditches, seeding, and mulch. Observed open gate for hunter access. Pheasants observed in road.
12:30 – 3:00 PM	Additional document review and GIS demonstrations.
3:00 PM	Closing Meeting Preparation: Auditor(s) take time to consolidate notes and confirm audit findings.
4:00 PM Office	Closing Meeting and Review of Findings: Convene with all relevant staff to summarize audit findings, address questions, and next steps.

2.2 Evaluation of Management Systems

SCS deploys interdisciplinary teams with expertise in forestry, social sciences, natural resource economics, and other relevant fields to assess an FME’s conformance to FSC standards and policies. Evaluation methods include document and record review, implementing sampling strategies to visit a broad number of forest cover and harvest prescription types, observation of implementation of management plans and policies in the field, and stakeholder analysis. When there is more than one team member, team members may review parts of the standards based on their background and expertise. On the final day of an evaluation, team members convene to deliberate the findings of the assessment jointly. This involves an analysis of all relevant field observations, stakeholder comments, and reviewed documents and records. Where consensus between team members cannot be achieved due to lack of evidence, conflicting evidence or differences of interpretation of the standards, the team is instructed to report these in the certification decision section and/or in observations.

3. Changes in Management Practices

There were no significant changes in the management and/or harvesting methods that affect the FME’s conformance to the FSC standards and policies.

4. Results of the Evaluation

4.1 Existing Corrective Action Requests and Observations

Finding Number: 2015.1	
Select one: <input type="checkbox"/> Major CAR <input type="checkbox"/> Minor CAR <input checked="" type="checkbox"/> Observation	
FMU CAR/OBS issued to (when more than one FMU):	
Deadline	<input type="checkbox"/> Pre-condition to certification <input type="checkbox"/> 3 months from Issuance of Final Report <input type="checkbox"/> Next audit (surveillance or re-evaluation) <input checked="" type="checkbox"/> Other deadline (specify): no deadline
FSC Indicator:	FSC-US 3.3.a.
Non-Conformity (or Background/ Justification in the case of Observations): CPF last invited consultation with tribal representatives in identifying sites of current or traditional cultural, archeological, ecological, economic or religious significance in 2011. Senior staff conducted these consultations and junior staff that may be taking over these responsibilities were not involved in the process. Long-term conformance to this requirement may require additional measures in the near future.	
Corrective Action Request (or Observation): CPF should consider inviting consultation with tribal representatives in identifying sites of current or traditional cultural, archeological, ecological, economic or religious significance at a future date so that junior staff may attain experience in the consultation process.	
FME response (including any evidence submitted)	
SCS review	Confirmed through document review (FMP page 56-57) and review of letters that CPF invited consultation and joint monitoring in 2016 and in 2011 I:\Kane\Shared Files and Folders\Foresters\FSC Forest Management\Tribal Consultations.
Status of CAR:	<input checked="" type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> Other decision (refer to description above)

Finding Number: 2015.2	
Select one: <input type="checkbox"/> Major CAR <input type="checkbox"/> Minor CAR <input checked="" type="checkbox"/> Observation	
FMU CAR/OBS issued to (when more than one FMU):	
Deadline	<input type="checkbox"/> Pre-condition to certification <input type="checkbox"/> 3 months from Issuance of Final Report <input type="checkbox"/> Next audit (surveillance or re-evaluation) <input checked="" type="checkbox"/> Other deadline (specify): no deadline
FSC Indicator:	FSC-US 4.2.b.
Non-Conformity (or Background/ Justification in the case of Observations): CPF and its employees and contractors demonstrate a safe work environment overall. The vast majority of contractors had current trainings required to implement forest management activities. Spill kits and other safety measures were observed on active job sites. However, during interviews with employees of logging contractors on Forest 3, Block 66, SCS learned that none of them had current First AID/CPR certification. Furthermore, SCS observed that two machine operators did not have their hardhats accessible in the machine. This means that they could not safely exit the machine while within the harvest unit.	
Corrective Action Request (or Observation): CPF should ensure that employees and contractors demonstrate a safe work environment.	
FME response (including any evidence submitted)	
SCS review	Harvest operators were observed and interviewed at 3 active harvest operations during the 2016 audit (McKean 15: Block 125, McKean 62: Block 006, and Trust 18: Block 12, 13 & 16) and in each case the auditor observed active use of PPE. In each case the harvest operators had completed safety training.
Status of CAR:	<input checked="" type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> Other decision (refer to description above)

Finding Number: 2015.3	
Select one: <input type="checkbox"/> Major CAR <input type="checkbox"/> Minor CAR <input checked="" type="checkbox"/> Observation	
FMU CAR/OBS issued to (when more than one FMU):	
Deadline	<input type="checkbox"/> Pre-condition to certification <input type="checkbox"/> 3 months from Issuance of Final Report <input type="checkbox"/> Next audit (surveillance or re-evaluation) <input checked="" type="checkbox"/> Other deadline (specify): no deadline
FSC Indicator:	FSC-US 5.3.b.
Non-Conformity (or Background/ Justification in the case of Observations):	
<p>On nearly every harvest site observed during the 2015 recertification assessment, harvest practices are managed to protect residual trees and other forest resources, including:</p> <ul style="list-style-type: none"> • soil compaction, rutting and erosion are minimized; and • techniques and equipment that minimize impacts to vegetation, soil, and water are used whenever feasible. <p>On Forest 24, Block 107, significant rutting was observed, especially at the confluence of skid trails. According to interviews with CPF, operations were halted a few times due to concerns over wet soil conditions. Moreover, due to the smaller size of this unit, finding contractors willing to work the site was difficult. The <i>Best Management Practices for Pennsylvania Forests</i> and <i>Pennsylvania's Timber Harvest Operations Field Guide for Waterways, Wetland and Erosion Control</i> serve as CPF's BMP guidelines. Both manuals contain description of measures to avoid the creation of ruts through timing of harvest, selection of equipment, and use of slash, corduroy or other mitigation measures.</p>	
Corrective Action Request (or Observation):	
<p>Harvest practices should be managed to protect residual trees and other forest resources, including:</p> <ul style="list-style-type: none"> • soil compaction, rutting and erosion are minimized; and • techniques and equipment that minimize impacts to vegetation, soil, and water are used whenever feasible. 	
FME response (including any evidence submitted)	
SCS review	Harvest operators were observed 7 active or completed harvest operations during the 2016 audit. Soil compaction, rutting or erosion were minimized at each site. Water bars were used correctly and observed on one site to minimize erosion.
Status of CAR:	<input checked="" type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> Other decision (refer to description above)

Finding Number: 2015.4	
Select one: <input type="checkbox"/> Major CAR <input checked="" type="checkbox"/> Minor CAR <input type="checkbox"/> Observation	
FMU CAR/OBS issued to (when more than one FMU):	
Deadline	<input type="checkbox"/> Pre-condition to certification <input type="checkbox"/> 3 months from Issuance of Final Report <input type="checkbox"/> Next audit (surveillance or re-evaluation) <input type="checkbox"/> Other deadline (specify):
FSC Indicator:	FSC-US 6.10.c and 6.10.e
Non-Conformity (or Background/ Justification in the case of Observations): CPF has leased three cellphone tower sites this year without providing evidence of conformance to indicators 6.10.c and 6.10.e. For example, justification for cellphone towers is not described in the FMP nor are there any descriptions of how C6.3 biodiversity requirements will be met for these installations. The long-term conservation benefits of these installations to the FMU also are not described. 6.10.c Forest conversion to non-forest land uses does not occur, except in circumstances where conversion will enable clear, substantial, additional, secure, long term conservation benefits across the forest management unit (note that Indicators 6.10.a, b, and c are related and all need to be conformed with for conversion to be allowed). 6.10.e Justification for land-use and stand-type conversions is fully described in the long-term management plan, and meets the biodiversity conservation requirements of Criterion 6.3 (see also Criterion 7.1.l).	
Corrective Action Request (or Observation): CPF shall ensure that conversion of cellphone town sites conforms to the requirements of indicators 6.10.c and 6.10.e.	
FME response (including any evidence submitted)	
SCS review	<p>July 13, 2016. Evidence to close this minor CAR was not received within 12 months. This Minor CAR has been upgraded to a Major CAR. A. Kittredge.</p> <p>October 11, 2016. During the annual surveillance audit, staff provided a justification for cellphone towers that is described in the management plan Addendum (October 2016) including a description of how C6.10.c, C6.10. e, and C6.3 biodiversity requirements are met. The Addendum has been submitted in association with this report.</p>
Status of CAR:	<input checked="" type="checkbox"/> Closed (11 October 2016: amk) <input type="checkbox"/> Upgraded (13 July 2016: amk) <input type="checkbox"/> Other decision (refer to description above)

Finding Number: 2015.5	
Select one: <input type="checkbox"/> Major CAR <input type="checkbox"/> Minor CAR <input checked="" type="checkbox"/> Observation	
FMU CAR/OBS issued to (when more than one FMU):	
Deadline	<input type="checkbox"/> Pre-condition to certification <input type="checkbox"/> 3 months from Issuance of Final Report <input type="checkbox"/> Next audit (surveillance or re-evaluation) <input checked="" type="checkbox"/> Other deadline (specify): no deadline
FSC Indicator:	FSC-US 7.1.e.
Non-Conformity (or Background/ Justification in the case of Observations): The FMP includes a description of the following resources and outlines activities to conserve and/or protect Representative Sample Areas (see C6.4). However, the process described to identify and classify RSAs in the FMP does not match the actual process that managers used under C6.4.	
Corrective Action Request (or Observation): The FMP should include a description of the following resources and outline activities to conserve and/or protect Representative Sample Areas (see C6.4).	
FME response <i>(including any evidence submitted)</i>	
SCS review	Confirmed through interviews, management plan review and GIS demonstration that this company received an updated 2015-2016 analysis of PA Natural Heritage Program and completed a re-evaluation of RSAs as described in the management plan.
Status of CAR:	<input checked="" type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> Other decision (refer to description above)

Finding Number: 2015.6	
Select one: <input type="checkbox"/> Major CAR <input checked="" type="checkbox"/> Minor CAR <input type="checkbox"/> Observation	
FMU CAR/OBS issued to (when more than one FMU):	
Deadline	<input type="checkbox"/> Pre-condition to certification <input type="checkbox"/> 3 months from Issuance of Final Report <input checked="" type="checkbox"/> Next audit (surveillance or re-evaluation) <input type="checkbox"/> Other deadline (specify):
FSC Indicator:	FSC-US 8.5.a.
Non-Conformity (or Background/ Justification in the case of Observations): While protecting landowner confidentiality, either full monitoring results or an up-to-date summary of the most recent monitoring information is not being fully maintained, covering the Indicators listed in Criterion 8.2. CPF has current monitoring results for 8.2.a.1, 8.2.d.3, and 8.2.e available in four PowerPoint presentations prepared for 2010-2015. Monitoring results for other indicators of C8.2 are either not being made available or are proprietary/ confidential.	
Corrective Action Request (or Observation): While protecting landowner confidentiality, either full monitoring results or an up-to-date summary of the most recent monitoring information shall be maintained, covering the Indicators listed in Criterion 8.2, and shall be available to the public, free or at a nominal price, upon request.	
FME response (including any evidence submitted)	
SCS review	<p>July 13, 2016. Evidence to close this minor CAR was not received within 12 months. This Minor CAR has been upgraded to a Major CAR. A. Kittredge.</p> <p>October 11, 2016. Confirmed through interviews and document review (2015 Public Monitoring Report.ppt) that was provided to the auditor during the 2016 annual surveillance audit.</p>
Status of CAR:	<input checked="" type="checkbox"/> Closed (11 October 2016: amk) <input type="checkbox"/> Upgraded to Major (13 July 2016: amk) <input type="checkbox"/> Other decision (refer to description above)

Finding Number: 2015.7	
Select one: <input type="checkbox"/> Major CAR <input checked="" type="checkbox"/> Minor CAR <input type="checkbox"/> Observation	
FMU CAR/OBS issued to (when more than one FMU):	
Deadline	<input type="checkbox"/> Pre-condition to certification <input type="checkbox"/> 3 months from Issuance of Final Report <input checked="" type="checkbox"/> Next audit (surveillance or re-evaluation) <input type="checkbox"/> Other deadline (specify):
FSC Indicator:	COC indicators for FMEs, 3.1 and 3.2
Non-Conformity (or Background/ Justification in the case of Observations): The corporate website includes the FSC promotional panel; however, the promotional panel for CPF includes a license code for a different Collins Company certificate.	
Corrective Action Request (or Observation): CPF shall update its trademark uses and resubmit updated uses to SCS for approval.	
FME response (including any evidence submitted)	
SCS review	<p>July 13, 2016. Evidence to close this minor CAR was not received within 12 months. This Minor CAR has been upgraded to a Major CAR. A. Kittredge.</p> <p>October 11, 2016. This company's staff provided trademark approval records for the company website during the annual surveillance audit. The corporate web-page includes the corporate license code for all sites as well as the individual specific FM/COC codes.</p>
Status of CAR:	<input checked="" type="checkbox"/> Closed (11 October 2016: amk) <input type="checkbox"/> Upgraded to Major (13 July 2016: amk) <input type="checkbox"/> Other decision (refer to description above)

4.2 New Corrective Action Requests and Observations

Finding Number: 2016.1	
Select one: <input type="checkbox"/> Major CAR <input type="checkbox"/> Minor CAR <input checked="" type="checkbox"/> Observation	
FMU CAR/OBS issued to (when more than one FMU):	
Deadline	<input type="checkbox"/> Pre-condition to certification <input type="checkbox"/> 3 months from Issuance of Final Report <input type="checkbox"/> Next audit (surveillance or re-evaluation) <input checked="" type="checkbox"/> Other deadline (specify):
FSC Indicator:	FSC-US indicator 6.7.a

Non-Conformity (or Background/ Justification in the case of Observations):	
Confirmed through document review and interviews that harvesting contracts include a requirement for spill kits availability and SFI training. Confirmed through interviews that logging contractors have completed Game of Logging and SFI courses, that include training on spill containment and clean-up. Although knowledgeable about this requirement, one logging contractor confirmed that a spill kit was not presently available onsite as a result of extenuating circumstances. This harvest operator routinely carries one spill kits. All other logging contractors demonstrated conformance to this indicator.	
Corrective Action Request (or Observation):	
The forest owner or manager, and employees and contractors, have the equipment and training necessary to respond to hazardous spills. The FME staff should ensure that all logging contractors keep equipment on site in order to respond to hazardous spills.	
FME response (including any evidence submitted)	
SCS review	
Status of CAR:	<input type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> Other decision (refer to description above)

Finding Number: 2016.2	
Select one: <input type="checkbox"/> Major CAR <input type="checkbox"/> Minor CAR <input checked="" type="checkbox"/> Observation	
FMU CAR/OBS issued to (when more than one FMU):	
Deadline	<input type="checkbox"/> Pre-condition to certification <input type="checkbox"/> 3 months from Issuance of Final Report <input type="checkbox"/> Next audit (surveillance or re-evaluation) <input checked="" type="checkbox"/> Other deadline (specify):
FSC Indicator:	FSC-US indicator 9.4.a
Non-Conformity (or Background/ Justification in the case of Observations):	
The most recent consultation with stakeholders was completed in 2011 and new HCVs were identified during late 2015. Most, but not all, of this new acreage is associated with an RTE species that was included in past consultations; new RTE species have been identified within a small proportion of the new HCVF acreage and consultation with stakeholders and experts has not yet been completed to confirm that proposed management options are appropriate within these new HCVF acres. The FME's staff created protection zones for these species and has initiated, but not completed the consultation process.	
Corrective Action Request (or Observation):	
The forest owner or manager held consultations with stakeholders and experts to confirm that proposed HCVF locations and their attributes have been accurately identified, and that appropriate options for the maintenance of their HCV attributes have been adopted. Given the recent update in RTE and HCV information, the FME should complete this consultation process for areas that include the two new RTE species.	
FME response (including any evidence submitted)	
SCS review	

Status of CAR:	<input type="checkbox"/> Closed <input type="checkbox"/> Upgraded to Major <input type="checkbox"/> <i>Other decision (refer to description above)</i>
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5. Stakeholder Comments

In accordance with SCS protocols, consultation with key stakeholders is an integral component of the evaluation process. Stakeholder consultation takes place prior to, concurrent with, and following field evaluations. Distinct purposes of such consultation include:

- To solicit input from affected parties as to the strengths and weaknesses of the FME’s management, relative to the standard, and the nature of the interaction between the company and the surrounding communities.
- To solicit input on whether the forest management operation has consulted with stakeholders regarding identifying any high conservation value forests (HCVFs).

Principal stakeholder groups are identified based upon results from past evaluations, lists of stakeholders from the FME under evaluation, and additional stakeholder contacts from other sources (e.g., chair of the regional FSC working group). The following types of groups and individuals were determined to be principal stakeholders in this evaluation:

5.1 Stakeholder Groups Consulted

FME Management and staff	Logging contractors
Recreational users	Purchasers of logs harvested on FME forestlands
Pertinent tribal members or representatives	Local and regionally-based environmental organizations
State and Federal regulatory agency personnel	

Stakeholder consultation activities are organized to give participants the opportunity to provide comments according to general categories of interest based on the three FSC chambers, as well as the SCS Interim Standard, if one was used. The table below summarizes the major comments received from stakeholders and the assessment team’s response. Where a stakeholder comment has triggered a subsequent investigation during the evaluation, the corresponding follow-up action and conclusions from SCS are noted below.

5.2 Summary of Stakeholder Comments and Responses from the Team, Where Applicable

<input checked="" type="checkbox"/> <i>FME has not received any stakeholder comments from interested parties as a result of stakeholder outreach activities during this annual audit.</i>

6. Certification Decision

The certificate holder has demonstrated continued overall conformance to the applicable Forest Stewardship Council standards. The SCS annual audit team recommends that the certificate be sustained, subject to subsequent annual audits and the FME's response to any open CARs.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Comments: This management team continues to seamlessly operate in conformance to the FSC-US Forest Management Standard V1-0.	

7. Changes in Certification Scope

Any changes in the scope of the certification since the previous audit are highlighted in **yellow** in the tables below.

Name and Contact Information

Organization name	Collins Pennsylvania Forest		
Contact person	Dave Trimpey		
Address	29100 SW Town Center Loop	Telephone	814-837-0123
	W Suite 300	Fax	
	Wilsonville, OR 97070	e-mail	dtrimpey@collinsco.com
		Website	http://www.collinsco.com

FSC Sales Information

<input checked="" type="checkbox"/> FSC Sales contact information same as above.			
FSC salesperson			
Address		Telephone	
		Fax	
		e-mail	
		Website	

Scope of Certificate

Certificate Type	<input checked="" type="checkbox"/> Single FMU	<input type="checkbox"/> Multiple FMU
	<input type="checkbox"/> Group	
SLIMF (if applicable)	<input type="checkbox"/> Small SLIMF certificate	<input type="checkbox"/> Low intensity SLIMF certificate
	<input type="checkbox"/> Group SLIMF certificate	
# Group Members (if applicable)		
Number of FMUs in scope of certificate		
Geographic location of non-SLIMF FMU(s)	<i>Latitude & Longitude:</i>	
Forest zone	<input type="checkbox"/> Boreal	<input type="checkbox"/> Temperate
	<input type="checkbox"/> Subtropical	<input type="checkbox"/> Tropical

Total forest area in scope of certificate which is:		Units: <input type="checkbox"/> ha or <input checked="" type="checkbox"/> ac	
privately managed	117,695		
state managed			
community managed			
Number of FMUs in scope that are:			
less than 100 ha in area		100 - 1000 ha in area	
1000 - 10 000 ha in area		more than 10 000 ha in area	1
Total forest area in scope of certificate which is included in FMUs that:		Units: <input type="checkbox"/> ha or <input type="checkbox"/> ac	
are less than 100 ha in area			
are between 100 ha and 1000 ha in area			
meet the eligibility criteria as <i>low intensity</i> SLIMF FMUs			
Division of FMUs into manageable units:			
<p>The Collins Pennsylvania Forest is comprised of three ownership groups and 170 individual tracts, all of which are managed as a single FMU by a single entity that holds the management rights. The ownership groups are the Collins Pine Co. lands (91,279 ac.), the Collins Pennsylvania Trust lands (23,302 ac.) and Collins Family lands (3,114 ac.). Each tract is given its own identification symbol. For Company lands the nomenclature consists of the county name followed by a tract number (e.g. Forest 16). Company lands are located in Forest, McKean, Warren, Elk, Potter, Cameron and Clarion Counties. Trust lands are designated by the word "Trust" and a tract number (e.g. Trust 13). Trust lands are in Forest Co. (23,218 ac.) and Clarion Co. (84 ac.). Family lands are designated by the word "Family" and a tract number (e.g. Family 3). All Family lands are in Forest Co. (Minor acreage sold includes 2.74 ac. (For 8) and 1.83 ac. (McKean 33/Minich) acres from Company lands. Other changes are the result of survey projects and GPS data collection as part of continuous improvement in GIS data layers.</p>			

Production Forests

Timber Forest Products	Units: <input type="checkbox"/> ha or <input checked="" type="checkbox"/> ac
Total area of production forest (i.e. forest from which timber may be harvested)	107,976 available for harvest
Area of production forest classified as 'plantation'	
Area of production forest regenerated primarily by replanting or by a combination of replanting and coppicing of the planted stems	
Area of production forest regenerated primarily by natural regeneration, or by a combination of natural regeneration and coppicing of the naturally regenerated stems	107,976
Silvicultural system(s)	Area under type of management
Even-aged management	107,976
Clearcut (clearcut size range)	
Shelterwood	
Other:	

Uneven-aged management	242 (individual and group; uneven age prescriptions completed 2010-2014) 2015 2 blocks-25 ac. total
Individual tree selection	
Group selection	
Other:	
<input type="checkbox"/> Other (e.g. nursery, recreation area, windbreak, bamboo, silvo-pastoral system, agro-forestry system, etc.)	
The sustainable rate of harvest (usually Annual Allowable Harvest or AAH where available) of commercial timber (m3 of round wood)	12,000 MBF Doyle No commercial production of NTFPs on the Collins PA Forest
Non-timber Forest Products (NTFPs)	
Area of forest protected from commercial harvesting of timber and managed primarily for the production of NTFPs or services	9,719
Other areas managed for NTFPs or services	
Approximate annual commercial production of non-timber forest products included in the scope of the certificate, by product type	
Explanation of the assumptions and reference to the data source upon which AAH and NTFP harvest rates estimates are based:	
2008 CPF Strategic Plan and 2010 Addendum	
Species in scope of joint FM/COC certificate: (Scientific / Latin Name and Common / Trade Name)	
Black Cherry-Prunus serotina Red Maple-Acer rubrum Red Oak-Quercus rubra Sugar Maple-Acer saccharum White Ash-Fraxinus americana White Oak-Quercus alba Black Birch Betula lenta Yellow Birch Betula allegheniensis Yellow Poplar(Tulip Poplar)-Liriodendron tulipifera Cucumbertree-Magnolia acuminata American Beech Fagus grandifolia Eastern Hemlock-Tsuga canadensis Eastern White Pine Pinus strobus Chestnut Oak-Quercus prinus Black Oak-Quercus velutina Scarlet Oak-Quercus coccinea	

FSC Product Classification

Timber products		
Product Level 1	Product Level 2	Species
W1 Rough Wood	W1.1 roundwood (logs)	See list above
W1	W1.2 Fuelwood	See list above

W3 Wood in chips or particles	W3.1 Wood chips	See list above
Non-Timber Forest Products		
Product Level 1	Product Level 2	Product Level 3 and Species

Conservation Areas

Total area of forest and non-forest land protected from commercial harvesting of timber and managed primarily for conservation objectives:		9,282 ac		
High Conservation Value Forest / Areas				
High Conservation Values present and respective areas:		Units: <input type="checkbox"/> ha or <input checked="" type="checkbox"/> ac		
	Code	HCV Type	Description & Location	Area
<input checked="" type="checkbox"/>	HCV1	Forests or areas containing globally, regionally or nationally significant concentrations of biodiversity values (e.g. endemism, endangered species, refugia).	After 2016 analysis of PA Natural Heritage Program updates / Reevaluation of RSAs and improved GPS data collection	2,797
<input type="checkbox"/>	HCV2	Forests or areas containing globally, regionally or nationally significant large landscape level forests, contained within, or containing the management unit, where viable populations of most if not all naturally occurring species exist in natural patterns of distribution and abundance.		
<input type="checkbox"/>	HCV3	Forests or areas that are in or contain rare, threatened or endangered ecosystems.		0
<input checked="" type="checkbox"/>	HCV4	Forests or areas that provide basic services of nature in critical situations (e.g. watershed protection, erosion control).		193
<input type="checkbox"/>	HCV5	Forests or areas fundamental to meeting basic needs of local communities (e.g. subsistence, health).		
<input type="checkbox"/>	HCV6	Forests or areas critical to local communities' traditional cultural identity (areas of cultural, ecological, economic or religious significance identified in cooperation with such local communities).		
Total Area of forest classified as 'High Conservation Value Forest / Area'				2,990

Areas Outside of the Scope of Certification (Partial Certification and Excision)

<input checked="" type="checkbox"/> N/A – All forestland owned or managed by the applicant is included in the scope.		
<input type="checkbox"/> Applicant owns and/or manages other FMUs not under evaluation.		
<input type="checkbox"/> Applicant wishes to excise portions of the FMU(s) under evaluation from the scope of certification.		
Explanation for exclusion of FMUs and/or excision:		
Control measures to prevent mixing of certified and non-certified product (C8.3):		
Description of FMUs excluded from, or forested area excised from, the scope of certification:		
Name of FMU or Stand	Location (city, state, country)	Size (<input type="checkbox"/> ha or <input type="checkbox"/> ac)

8. Annual Data Update

8.1 Social Information

Number of forest workers (including contractors) working in forest within scope of certificate (differentiated by gender):	
100 male workers	2 female workers

8.2 Annual Summary of Pesticide and Other Chemical Use

<input type="checkbox"/> FME does not use pesticides.				
Commercial name of pesticide / herbicide	Active ingredient	Quantity applied annually (kg or lbs.)	Size of area treated during previous year	Reason for use
Accord	Glyphosate	2552 Qt.	1191 ac.	Control interfering vegetation / promote desirable regeneration
Oust	Sulfometuron methyl	3549 oz.	1191 ac.	Control interfering vegetation / promote desirable regeneration
Accord	Glyphosate	81.8 oz.	Spot treatments	Control of non-native invasive plants

